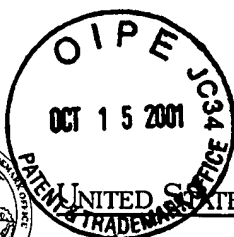


#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/898,452	07/05/2001	Tai-Cheng Chen	SUND 177 209

CONFIRMATION NO. 8127

## FORMALITIES LETTER



\*OC00000006446169\*

23995  
RABIN & CHAMPAGNE, PC  
1101 14TH STREET, NW  
SUITE 500  
WASHINGTON, DC 20005

Date Mailed: 08/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

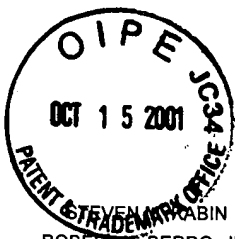
- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

740.00 OP  
130.00 OP  
09/20/01 5:11:05 PM 09/20/01 09/20/01 09/20/01



STEVEN M. RABIN  
ROBERT H. BERDO, JR.  
PHILLIP G. AVRUCH  
QIXIA ZHANG, Pat. Agent (China)

**RABIN & BERDO, P.C.**

SUITE 500  
1101 14<sup>th</sup> STREET, N.W.  
WASHINGTON, D.C. 20005

PATENT, TRADEMARK  
AND COPYRIGHT LAW  
TELEPHONE: (202) 659-1915  
TELEFAX: (202) 659-1898  
E-MAIL: firm@rabinchamp.com

SECTOR  
✓ \$

Commissioner of Patents and Trademarks  
Washington D.C. 20031

Attorney Docket: **SUND 209**

**Attention:** Initial Patent Examination Division

Re: Patent Application of:  
Applicant(s) : Tai-Cheng CHEN et al.  
Application No. : 09/898,452  
Filed : July 5, 2001

Sir:

In response to the Notice to File Missing Parts dated August 20, 2001, submitted herewith are:

- [ X ] Filing Fee \$740.00 plus surcharge \$130.00 totaling \$870.00.
- [ X ] Copy of U.S. Patent and Trademark Office notice dated August 20, 2001.

**TOTAL FEE SUBMITTED      \$870.00**

Should no remittance be attached, or should any additional fee be required, please charge our Deposit Account No. 18-0002 and advise us accordingly.

Respectfully submitted,

Steven M. Rabin  
Registration No. 29,102

October 15, 2001  
Date

SMR:pjl/tl

**FEE ENCLOSED: \$ 870.-**  
Please charge any further  
fee to our Deposit Account  
No. 18-0002



0410

10.10.07

FILE COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2631  
Examiner: To be assigned

In Re PATENT APPLICATION Of:

Applicants	:	CHEN et al.	)	
			)	
Serial No.	:	09/898,452	)	
			)	
Filed	:	July 5, 2001	)	
			)	
For	:	METHOD FOR SOLVING A	)	<b>REQUEST FOR</b>
		MISMATCHED NEGOTIATION	)	<b>CORRECTED</b>
		PROBLEM BETWEEN AN	)	<b>FILING RECEIPT</b>
		AUTO-NEGOTIATION MODE	)	
		AND AN ENFORCE MODE	)	
			)	
Attorney Ref. :		SUND 209 SMR	)	

Commissioner of Patents  
Washington, D.C. 20231  
**Attn: Office of Initial Patent Examination**  
**Customer Service Center**

Sir:

Please correct the Official Filing Receipt in the above-captioned matter as follows:

-The Attorney Docket Number should read "**SUND 209**" instead of "SUND 177."

It is believed that the inaccuracies are the fault of the United States Patent and Trademark Office, and therefore, that no fee is due. However, if it is determined that a fee is due, please charge such fee to our Deposit Account No. 18-0002.

Respectfully submitted,

Steven M. Rabin  
(Registration No. 29,102)  
Customer No. 23,995

Date: September 14, 2001

SMR:mrd

Attachment: Copy of Official Filing Receipt with Corrections Marked in Red



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 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/898,452	07/05/2001 ✓	2631	0.00 ✓	SUND 177 ✓ SUND 209	3 ✓	14 ✓	2 ✓

CONFIRMATION NO. 8127

 23995  
 RABIN & CHAMPAGNE, PC  
 1101 14TH STREET, NW ✓  
 SUITE 500  
 WASHINGTON, DC 20005

## FILING RECEIPT



\*OC000000006446168\*

AUG 20 2001

Date Mailed: 08/20/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

 Tai-Cheng Chen, Taipei, TAIWAN; ✓  
 Teng-Sheng Yu, Taipei, TAIWAN; ✓

## Domestic Priority data as claimed by applicant

## Foreign Applications

TAIWAN 89113494 07/07/2000

If Required, Foreign Filing License Granted 08/18/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

 Method for solving a mismatched negotiation problem between an auto-negotiation mode and an  
 enforce mode ✓

## Preliminary Class

375